

# AN ADDITIVITY PRINCIPLE FOR GOLDIE RANK<sup>†</sup>

BY

A. JOSEPH AND L. W. SMALL

## ABSTRACT

Let  $A$  be a noetherian ring. In general  $A$  will not admit a classical Artinian ring of quotients. Yet a problem in enveloping algebras leads one to consider the possible embedding of  $A$  in a prime ring  $B$  which is finitely generated as a left and a right  $A$  module. Under certain additional technical assumptions, it is shown that the set  $S$  of regular elements of  $A$  is regular in  $B$  and is an Ore set in both  $A$  and  $B$  with  $S^{-1}A$  and  $S^{-1}B$  Artinian. This enables one to establish the following additivity principle for Goldie rank. Let  $\{P_1, P_2, \dots, P_r\}$  be the set of minimal primes of  $A$ . Then under the above conditions it is shown that there exist positive integers  $z_1, z_2, \dots, z_r$  such that

$$\sum_{i=1}^r z_i \text{rk}(A/P_i) = \text{rk } B,$$

where  $\text{rk}$  denotes Goldie rank. This applies to the study of primitive ideals in the enveloping algebra of a complex semisimple Lie algebra.

## 1. Introduction

Let  $\mathfrak{g}$  be a complex semisimple Lie algebra,  $U(\mathfrak{g})$  its enveloping algebra,  $Z(\mathfrak{g})$  the centre of  $U(\mathfrak{g})$ ,  $\text{Prim } U(\mathfrak{g})$  the primitive spectrum of  $U(\mathfrak{g})$  and  $\pi: I \mapsto I \cap Z(\mathfrak{g})$  the projection of  $\text{Prim } U(\mathfrak{g})$  onto  $\text{Max } Z(\mathfrak{g})$ . The problem of classifying  $\text{Prim } U(\mathfrak{g})$  and in particular the Jantzen conjecture [1], 5.9, motivate [6], 11.1 an additivity principle for Goldie rank.<sup>†</sup> Our main result, Theorem 3.9, establishes such a principle in a form suitable for application to enveloping algebras. Indeed

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<sup>††</sup> Also referred to as Goldie dimension, though the former terminology is more common in enveloping algebras.

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when suitably combined with the methods of [6], it gives [7] a strong lower bound on the cardinality of each Prim  $U(\mathfrak{g})$  fibre relative to  $\pi$ . For  $\mathfrak{g}$  simple of type  $A_n$  (Cartan notation) this coincides with Duflo's upper bound [4], prop. 9, and establishes Jantzen's conjecture in the form described in [6], 10.3.

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**2. Gelfand–Kirillov dimension and Artinian rings of quotients**

2.1. Let  $F$  be a commutative field and  $A$  a finitely generated  $F$ -algebra with identity 1. Fix a finite dimensional generating subspace  $V$  of  $A$ . For each  $k \in \mathbb{N}^+$ , let  $V^k$  denote the subspace of  $A$  spanned by the monomials  $v_1 v_2 \cdots v_k : v_i \in V$  and define a filtration  $A^0 \subset A^1 \subset A^2 \subset \cdots$ , on  $A$  through  $A^0 = F, A^k = V + V^2 + \cdots + V^k$ . Given  $M$  a finitely generated left  $A$  module, let  $M^0$  denote a finite dimensional generating subspace and define a filtration  $M^0 \subset M^1 \subset M^2 \subset \cdots$ , on  $M$  through  $M^k = A^k M^0$ . Define the *left Gelfand–Kirillov dimension*  $d_A(M)$  of  $M$  through

$$d_A(M) := \lim_{k \rightarrow \infty} \frac{\log \dim_F M^k}{\log k}.$$

(In particular  $d_A(M) = -\infty$  if  $M = 0$ .)

It is elementary and well-known that  $d_A(M)$  does not depend on the choice of generating subspaces  $V, M^0$ , as is also the following

LEMMA. *Let  $M, M_1, M_2, \dots, M_r$  be finitely generated left  $A$  modules,  $B$  an  $F$ -algebra containing  $A$  and finitely generated as a left  $A$  module. Then*

- (i)  $d_A(M) = \sup_i d_A(M_i)$ , given  $M = M_1 + M_2 + \cdots + M_r$ .
- (ii)  $d_A(A) \geq d_A(M)$ , for  $A$  considered as a left  $A$  module.
- (iii)  $d_A(M) \geq d_A(M_i)$  for any subquotient  $M_i$  of  $M$ .
- (iv)  $d_A(L) \geq d_A(Lb)$  for any  $b \in B$  and any finitely generated  $A$  module  $L$  of  $B$ . Equality holds if  $xb = 0 : x \in L$  implies  $x = 0$ .
- (v) If  $I$  is an ideal of  $A$  contained in  $\text{Ann } M$ , then  $d_{A/I}(M) = d_A(M)$ .

If  $M$  is a right  $A$  module we can similarly define the *right Gelfand–Kirillov dimension*  $d'_A(M)$  of  $M$ . As  $A$  will be fixed throughout and because of (v) we shall generally drop the subscripts.

For any  $F$ -algebra  $C$  and any subset  $T$  of  $C$  we let  $l_C(T)$  (resp.  $r_C(T)$ ) denote

the left (resp. right) annihilator of  $T$  in  $C$ . For the  $F$ -algebra  $A$  we drop the subscript.

2.2. Define  $A, B$  as in 2.1 and from now on assume that  $A$  is (left and right) Noetherian and that  $B$  is finitely generated as a left and right  $A$  module. Call  $B$  *left smooth* if  $d_A(B) = d_A(L)$  for every non-zero left ideal  $L$  of  $B$ . Since  $B$  is left Noetherian it is enough by 2.1 (i) to assume this property for two-sided ideals. We may similarly define  $B$  to be right smooth.

LEMMA. *Suppose  $B$  is left smooth. Let  $I$  be an ideal of  $B$ . Then*

- (i)  $B/l_B(I)$  is left smooth.
- (ii) If  $I \neq 0$ , then  $d(B/l_B(I)) = d(B)$ .
- (iii) If  $K$  is a left ideal of  $B$  satisfying  $d(B/K) < d(B)$ , then  $K$  is essential.

Since  $B$  is right Noetherian we can write

$$I = \sum_{i=1}^n a_i B : a_i \in I.$$

Then  $l_B(I) = \cap l_B(a_i)$  and the map  $x + l(I) \mapsto (xa_1, xa_2, \dots, xa_n)$  of  $B/l(I)$  into  $(Ba_1, Ba_2, \dots, Ba_n)$  is injective. Now if  $L + l(I)$  is a non-zero left ideal of  $B/l(I)$ , then  $La_i \neq 0$  for some  $i$  and is a left ideal of  $B$ . This by 2.1 (i), (iii) and smoothness gives:  $d(B/l(I)) \geq d(L/l(I)) \geq d(La_i) = d(B) \geq d(B/l(I))$ . Hence (i), (ii).

If  $K \cap L = 0$ , for some left ideal  $L$  of  $B$ , then  $L$  embeds in  $B/K$  and so by 2.1 (iii):  $d(L) \leq d(B/K) < d(B)$ . Smoothness implies  $L = 0$  and so gives (iii).

2.3 LEMMA. *Let  $B$  be a prime ring. Then  $B$  is left smooth.*

Let  $L$  be a non-zero left ideal of  $B$ . Since  $B$  is prime Noetherian, there exist  $a_1, a_2, \dots, a_n \in B$  such that  $K := \sum La_i$  is an essential left ideal of  $B$ . By 2.1 (i), (ii), (iv) one has  $d(K) = \sup d(La_i) \leq d(L) \leq d(B)$ , so it is enough to prove the assertion for  $L$  essential. Choose  $a \in L$  such that  $l_B(a) = 0$ . Then by 2.1 (iii), (iv):  $d(L) \geq d(Ba) = d(B)$ , as required.

REMARK. The assertion clearly fails for semiprime rings.

2.4. From now on let  $\{P_1, P_2, \dots, P_r\}$  denote the set of minimal primes of  $A$  and  $N := \cap P_i$  the nilradical of  $A$ . One has  $N^s = 0$  for some positive integer  $s$ .

LEMMA. *Let  $P$  be a minimal prime of  $A$ . Then there exist ideals  $I, J$  of  $A$  such that  $IJ \neq 0$  and  $IPJ = 0$ .*

Observe that  $(P_1 P_2 \dots P_r)^s = 0$  and choose a product of the  $P_i$  of minimal

length which vanishes. Each  $P_i$  must occur in this product for otherwise  $P_k \subset P_j$  for some  $k \neq j$ . From this one obtains a suitable choice of  $I, J$ .

REMARKS. This is a weak form of an unpublished result of Kaplansky which further asserts that we can choose  $I, J$  so that  $P = \{a \in A : IaJ = 0\}$ . Note also that there is always at least one minimal prime  $P$  for which  $l(P) \neq 0$ .

2.5 LEMMA. *Suppose  $a \in A$  satisfies  $l(a) = 0$ . Then*

- (i)  $d(A/Aa) \leq d(A) - 1$ .
- (ii) *If  $A$  is left smooth and  $d(A) < \infty$ , then  $a$  is regular.*

The proof of (i) exactly follows that of [2], 3.4.

(ii) Choose  $b \in r(a)$ . Then  $Ab$  is isomorphic to a subquotient of  $A/Aa$  so by (i) and 2.1 (iii) we obtain  $d(Ab) \leq d(A/Aa) < d(A)$ . By left smoothness this gives  $Ab = 0$  and hence  $r(a) = 0$ , as required.

2.6. From now on we shall assume that  $d(A) < \infty$ . Suppose that  $A$  is left smooth. Call  $A$  *bi-smooth* if  $d(I/J) = d'(I/J)$  for all ideals  $I, J: \supset J$  of  $A$ . This "two-sided" property trivially translates to quotients and so by 2.2 (i) if  $A$  is bi-smooth, then so is  $A/l(I)$ , for any ideal  $I$ . We shall see in 3.1 that this two-sided property holds for the enveloping algebra of a finite dimensional Lie algebra. Again from 2.2 we see that a bi-smooth ring is right smooth.

PROPOSITION. *Suppose  $A$  is bi-smooth. Then*

- (i)  $d(A/P) = d(A)$ , for each minimal prime  $P$  of  $A$ .
- (ii) *If  $L$  is a left ideal of  $A$  satisfying  $d(A/L) < d(A)$ , then  $L/(L \cap N)$  contains a regular element of  $A/N$ .*
- (iii) *Suppose  $N \neq 0$ . If  $P'$  is a minimal prime of  $A/l(N)$ , then its inverse image  $Q$  is a minimal prime of  $A$ .*

(i) Pick  $I, J$  as in the conclusion of 2.4. Let  $\bar{I}$  (resp.  $\bar{P}$ ) denote the image of  $I$  (resp.  $P$ ) in  $A/l(J)$ . Then  $\bar{I}\bar{P} = 0$  and so  $\bar{I}$  considered as a finitely generated right  $\bar{A}/\bar{P}$  module satisfies  $d'(\bar{I}) \leq d'(\bar{A}/\bar{P}) \leq d'(A/P)$ , by 2.1 (ii). Now  $IJ \neq 0$ , so  $\bar{I} \neq 0$  and  $J \neq 0$  and since  $A$  is left smooth, 2.2 (i), (ii) gives  $d(\bar{I}) = d(A/l(J)) = d(A)$ . By the two-sidedness property, this and our previous inequality gives (i).

(ii) Let  $P$  be a minimal prime of  $A$  and let  $\bar{L}$  denote the image of  $L$  in  $A/P$ . Since  $d(\bar{A}/\bar{L}) \leq d(A/L) < d(A)$  by hypothesis, it follows from (i), 2.2 (iii) and 2.3 that  $\bar{L}$  is an essential left ideal of the prime ring  $A/P$ . This gives  $r(L) \subset P$  and so  $r(L) \subset N$ . Hence  $L/(L \cap N)$  is essential in  $A/N$  and so contains a regular element of the semiprime ring  $A/N$ .

(iii)  $Q$  is a prime ideal of  $A$ . Suppose it contains strictly some minimal prime

$P$  of  $A$ . Then  $Q/P$  contains a regular element  $a$  of  $A/P$  and so by 2.1 (iii), 2.5 (i) one obtains  $d(A/Q) \leq d(A/Aa) < d(A)$ . Yet if  $N \neq 0$ , then by (i) and 2.2 (i), (ii):  $d(A/Q) = d((A/l(N))/P) = d(A/l(N)) = d(A)$  and this contradiction proves (iii).

REMARK. By (iii) the radical  $\sqrt{l(N)}$  of  $l(N)$  is an intersection of minimal primes of  $N$  and either

(a)  $A/l(N)$  has strictly less minimal primes than  $A$ , or

(b)  $l(N) \subset N$  and the index of nilpotence of the nilradical of  $A/l(N)$  is strictly less than that of  $N$ .

2.7. From now on let  $S$  denote the set of regular elements of  $A$ .

THEOREM. *Let  $A$  be a bi-smooth Noetherian  $F$ -algebra satisfying  $d(A) < \infty$ . Then  $S$  is an Ore set in  $A$  and the ring of quotients  $S^{-1}A$  is Artinian with nilradical  $S^{-1}$ .*

By [8] a Noetherian ring  $A$  admits a classical Artinian ring of quotients iff for each  $a \in A$  with  $a + N$  regular in  $A/N$  one has  $a$  regular in  $A$ . By the remark of 2.6 and the fact that  $A/l(N)$  is bi-smooth we may prove this by induction on the number of minimal primes and on the index of nilpotence. Thus we are reduced to proving that  $A$  satisfies the conclusion of the theorem given that  $A/l(N)$  does. Set

$$I := \{n \in N : sn = 0 \text{ for some } s + l(N) \text{ regular in } A/l(N)\}.$$

Since  $N$  is a left  $A/l(N)$  module, it follows from the left Ore condition in  $A/l(N)$  that  $I$  is an ideal of  $A$  (contained in  $N$ ). Now  $A$  is right Noetherian, so we may write

$$I = \sum_{i=1}^m n_i A : n_i \in I,$$

and then again by the left Ore condition in  $A/l(N)$  there exists some  $t + l(N)$  regular in  $A/l(N)$  such that  $tI = 0$ . Then by 2.1 (iii), 2.5 (i) it follows that

$$d(A/I) \leq d((A/l(N))/(At + l(N))/l(N)) < d(A/l(N)) \leq d(A).$$

Then by 2.2 (ii),  $I = 0$ . Suppose  $a + N$  is regular in  $A/N$ . Then  $r(A) \subset N$ . Furthermore  $a + \sqrt{l(N)}$  is regular in  $A/\sqrt{l(N)}$  and so  $a + l(N)$  is regular in  $A/l(N)$ , by the induction hypothesis. Hence  $r(a) = 0$  and so  $a$  is regular by 2.5 (ii), with left replaced by right.

2.8. Retain the notation and hypotheses of 2.7. By 2.6 (ii)

COROLLARY. *Let  $L$  be a left ideal of  $A$  satisfying  $d(A/L) < d(A)$ , then  $L \cap S \neq \emptyset$ .*

### 3. The additivity principle

3.1. Let  $\mathfrak{a}$  be a finite dimensional  $F$ -Lie algebra and let  $U(\mathfrak{a})$  denote its enveloping algebra. In this section we take  $A$  to be a quotient of  $U(\mathfrak{a})$ . Then  $A$  admits an identity 1, is Noetherian, finitely generated and by 2.1 (iii), [2], 5.4:  $d(A) \leq d(U(\mathfrak{a})) = \dim \mathfrak{a} < \infty$ . We let  $B$  denote an  $F$ -algebra containing  $A$  as a subalgebra so that 1 is also the identity of  $B$ . Through the principal antiautomorphism,  $U(\mathfrak{a})$  is isomorphic to its opposite algebra and we note that  $B$  is defined as a left and as a right  $U(\mathfrak{a})$  module (and hence as a  $U := U(\mathfrak{a}) \otimes U(\mathfrak{a})$  module). Given  $X \in \mathfrak{a}$ ,  $b \in B$ , write  $(\text{ad } X)b := Xb - bX$  and let  $(\text{ada})b$  denote the  $F$  subspace of  $B$  generated by the  $(\text{ad } X)b: X \in \mathfrak{a}$ . Call  $b \in B$ , *locally ad a finite* if

$$\dim_F \left( \sum_{i=0}^{\infty} (\text{ada})^i b \right) < \infty.$$

Obviously each  $a \in A$  is locally ad a finite. We shall assume (and this imposes a strong condition on  $A$ ) that  $B$  satisfies

- (a) Every  $b \in B$  is locally ad a finite.
- (b)  $B$  is finitely generated as a  $U$  module.
- (c)  $B$  is a prime ring.

As noted in [6], 2.3, it follows from (a), (b) that  $B$  is finitely generated both as a left and as a right  $U(\mathfrak{a})$  module and is hence a Noetherian ring. Again by [6], 2.3, we have the

LEMMA. *Consider  $B$  as a  $U$  module. If  $V$  is a subquotient of  $B$  then considered respectively as a left and as a right  $U(\mathfrak{a})$  module it satisfies  $d(V) = d'(V)$ .*

REMARK. The  $U$  submodules of  $A$  are just its ideals.

EXAMPLE. Let  $\mathfrak{g}$  be a complex semisimple Lie algebra,  $\mathfrak{p}$  a parabolic sub-algebra of  $\mathfrak{g}$  and  $V$  a finite dimensional simple  $U(\mathfrak{p})$  module. Let  $M$  denote the  $U(\mathfrak{g})$  module induced from  $V$  and set  $I = \text{Ann } M$ ,  $A = U(\mathfrak{g})/I$ . Consider  $\text{Hom}_C(M, M)$  as a  $U(\mathfrak{g}) \otimes U(\mathfrak{g})$  module [6], 3.2, and let  $B$  denote the subalgebra of  $\text{Hom}_C(M, M)$  of all  $\text{ad } \mathfrak{g}$  finite elements. Then  $B$  is a  $U(\mathfrak{g}) \otimes U(\mathfrak{g})$  submodule of  $\text{Hom}_C(M, M)$  containing  $A$  as a subalgebra. By [6], 4.3 (i),  $B$  is finitely generated as a  $U(\mathfrak{g}) \otimes U(\mathfrak{g})$  module and by [6], 4.8 (ii) it is a prime ring. Finally  $\text{rk } B = \dim V$ , [6], 5.10.

3.2 LEMMA. *Suppose  $b \in B$  satisfies  $l_B(b) = 0$  (resp.  $r_B(b) = 0$ ). Then  $d(B/Bb) \leq d(B) - 1$  (resp.  $d'(B/bB) \leq d'(B) - 1$ ).*

Both parts are similar and we consider only the first. Let  $B^0$  be a finite dimensional generating subspace for  $B$  considered as a left  $A$  module. Then  $B^0b$  is a finite dimensional generating subspace for  $Bb$  and we can choose  $t \in \mathbb{N}$  such that  $B^0b \subset A^t B^0$ . Then for all integers  $k \geq t$  we have  $(A^{k-t} B^0)b \subset A^k B^0$  and since  $l_B(b) = 0$ , one has  $\dim(A^{k-t} B^0)b = \dim(A^{k-t} B^0)$ . Set  $f(k) = \dim A^k B^0$ . Then

$$d(B) = \lim_{k \rightarrow \infty} \frac{\log f(k)}{\log k},$$

whereas by our first observation

$$d(B/Bb) \leq \lim_{k \rightarrow \infty} \frac{\log(f(k) - f(k - t))}{\log k}.$$

Now for  $k$  sufficiently large,  $f(k)$  is polynomial in  $k$  (the Hilbert-Samuel polynomial, see [6], 2.1, for example) and  $\deg f = d(B)$ . If  $\deg f \geq 1$ , then  $d(B/Bb) \leq \deg f - 1$ , as required. Otherwise  $B$  must be finite dimensional over  $F$  and so  $B = Bb$ , which establishes the assertion in this case.

3.3 LEMMA. *Let  $M$  be a left  $U(\mathfrak{a})$  submodule of  $A$ . Then*

- (i)  $d(MU(\mathfrak{a})) = d(A)$ .
- (ii)  $d(MB) = d(M)$ .

Let  $V$  denote the map of  $\mathfrak{a} \otimes A$  in  $U(\mathfrak{a})$  and  $M^0$  a finite dimensional generating subspace for  $M$ . By hypothesis 3.1 (a) there exists  $t \in \mathbb{N}$  such that

$$\sum_{k=0}^{\infty} (\text{ad } \mathfrak{a})^k M^0 = \sum_{k=0}^t (\text{ad } \mathfrak{a})^k M^0.$$

Since  $M$  is a left  $U(\mathfrak{a})$  module it follows that  $MU(\mathfrak{a}) = MV'$  and so (i) follows from 2.1 (i), (iv). Since  $B$  is finitely generated as a left  $U(\mathfrak{a})$  module, (ii) similarly follows from (i).

3.4. Recall (hypothesis 3.1 (c)) that  $B$  is assumed prime and is a Noetherian ring.

LEMMA. *If  $b \in B$  satisfies  $d'(B/r_B(b)) < d'(B)$ , then  $b = 0$ .*

Obviously 2.2, 2.3 hold with left replaced by right. Then by 2.3 it follows that  $B$  is right smooth and by 2.2 (iii) that  $r_B(b)$  is an essential right ideal of  $B$  and so contains a regular element. This gives  $b = 0$ , as required.

3.5 PROPOSITION. Fix  $a \in A$ . The following three assertions are equivalent:

- (i)  $l(a) = 0$ . (Recall that  $l(a) = l_A(a)$ .)
- (ii)  $r(a) = 0$ .
- (iii)  $a$  is regular in  $B$ .

Since  $B$  is prime Noetherian it suffices to show that  $l(a) = 0$  implies  $r_B(a) = 0$ . Choose  $b \in B$  such that  $ab = 0$ . Then  $Ab = A/l(b)$  up to an isomorphism of left  $A$  modules and so  $d(Ab) = d(A/l(b)) \leq d(A/Aa) < d(A)$  by the hypothesis, 2.1 (iii), 2.5 (i). Taking  $M = Ab$  in 3.3 gives  $d(Ab) = d(AbB) = d'(AbB) \geq d'(bB)$ , by 3.1 and 2.1 (iii). Yet  $bB = B/r_B(b)$ , up to an isomorphism of right  $B$  modules and so  $d'(B/r(b)) < d(A) \leq d'(B)$  by 3.1 and 2.1 (iii). From 3.4 this gives  $b = 0$ , as required.

REMARK. The proposition fails if  $1 \in A$  is not the identity of  $B$ . This was needed for the isomorphism  $Ab = A/l(b)$ .

3.6 LEMMA. (i)  $A$  is bi-smooth.

(ii) Let  $K$  be an essential left (or right) ideal of  $B$ .

Then  $L := A \cap K$  contains a regular element of  $A$ .

(i) By 3.1 it suffices to prove that  $A$  is left smooth. Recalling that  $B$  is prime this follows from 3.3 (ii) and 2.3.

(ii) Since  $B$  is a prime ring it follows that  $K$  admits a regular element  $b$  of  $B$ . Then from 2.1 (iii), 3.2 and 3.3:  $d(A/L) \leq d(B/K) < d(B) = d(A)$ . Combined with 2.8, this gives (ii).

3.7. Let  $S$  denote the set of regular elements of  $A$  and  $\text{Fract } B$  the classical ring of quotients of  $B$  (which is simple and Artinian). By 3.5,  $S$  is contained in the set of regular elements of  $B$ .

COROLLARY. (i)  $S$  is an Ore set in  $A$  and  $S^{-1}A$  is Artinian.

(ii)  $S$  is an Ore set in  $B$  and  $S^{-1}B = \text{Fract } B$ .

By 2.7 and 3.6 (i) it remains to prove (ii). Consider for example the left Ore condition. Given  $s \in S$ ,  $b \in B$ , set  $K = \{c \in B : cb \in Bs\}$ . Since  $s$  is also regular in  $B$  a standard argument shows that  $K$  is an essential left ideal of  $B$ . Then by 3.6 (ii), we obtain  $K \cap S \neq \emptyset$  and this establishes the first part of (ii). Finally given  $t$  regular in  $B$ , then  $Bt$  is an essential left ideal of  $B$  and so by 3.6 (ii) there exists  $b \in B$  such that  $s := bt \in A$ . Hence  $t$  is invertible in  $S^{-1}B$  which proves the second part of (ii).

3.8. Given  $\mathcal{B}$  a prime Noetherian ring we recall that the Goldie rank  $\text{rk } \mathcal{B}$  of



$\mathcal{B}$  is just the maximum number of direct summands of left (or right) ideals of  $\mathcal{B}$ . Given  $K$  a left  $\mathcal{B}$  module we let  $\text{rk } K$  denote the maximum number of direct summands of left  $\mathcal{B}$  submodules of  $K$ . Given  $e \in \mathcal{B}$  a projection we remark that  $e\mathcal{B}e$  is a prime Noetherian ring and  $\text{rk } e\mathcal{B}e = \text{rk } \mathcal{B}e$ . Let  $\mathcal{A}$  be a Artinian subring of  $\mathcal{B}$  with identity 1 and assume that 1 is also the identity of  $\mathcal{B}$ . Let  $\{Q_1, Q_2, \dots, Q_r\}$  denote the set of (minimal) primes of  $\mathcal{A}$  and  $\mathcal{N}$  its nilradical. We may write  $Q_i/\mathcal{N} = \mathcal{A}/\mathcal{N} (\bar{1} - \bar{x}_i)$ :  $i = 1, 2, \dots, r$ , where  $\{\bar{x}_1, \bar{x}_2, \dots, \bar{x}_r\}$  is the maximal set of pairwise orthogonal minimal central projections of  $\bar{\mathcal{A}} := \mathcal{A}/\mathcal{N}$ . By [5], sect. 8, prop. 5, there exists a set  $\{e_1, e_2, \dots, e_r\}$  of pairwise orthogonal projections of  $\mathcal{A}$  satisfying  $e_i - x_i \in \mathcal{N}$  for all  $i$ , and  $\sum e_i = 1$ .

LEMMA. For all  $i = 1, 2, \dots, r$

(i)  $z_i := (\text{rk } \mathcal{B}e_i) / \text{rk } (\mathcal{A}/Q_i) \in \mathbf{N}^+$ .

(ii)  $\sum_{i=1}^r z_i \text{rk } (\mathcal{A}/Q_i) = \text{rk } \mathcal{B}$ .

From  $\mathcal{B} = \bigoplus \mathcal{B}e_i$  we obtain (ii). For (i) note that  $e_i\mathcal{A}e_i$  has nilradical  $\mathcal{N}e_i$  and is an Artinian subring of the prime ring  $e_i\mathcal{B}e_i$  with identity  $e_i$ . Then through the algebra isomorphisms  $(e_i\mathcal{A}e_i)/\mathcal{N}e_i = (\mathcal{A}/\mathcal{N})x_i = \mathcal{A}/Q_i$ , it suffices to establish (i) in the case  $r = 1$ .

Let  $\{\bar{y}_1, \bar{y}_2, \dots, \bar{y}_s\}$  be a maximal set of pairwise orthogonal minimal projections of  $\mathcal{A}/\mathcal{N}$ . By [5], sect. 8, prop. 5 there exists a set  $\{f_1, f_2, \dots, f_s\}$  of pairwise orthogonal projections of  $\mathcal{A}$  satisfying  $f_i - y_i \in \mathcal{N}$  for all  $i$ , and  $\sum f_i = 1$ . Yet  $s = \text{rk } \mathcal{A}/\mathcal{N}$  and the left  $\mathcal{A}/\mathcal{N}$  modules  $(\mathcal{A}/\mathcal{N})y_i$ :  $i = 1, 2, \dots, s$  are pairwise isomorphic. By [5], sect. 8, prop. 1, the  $\mathcal{A}f_i$ :  $i = 1, 2, \dots, s$  are pairwise isomorphic left  $\mathcal{A}$  modules. Since  $\mathcal{A}$  is a subring of  $\mathcal{B}$  it follows from [5]. sect. 7, prop. 4, that the  $\mathcal{B}f_i$ :  $i = 1, 2, \dots, s$  are pairwise isomorphic left  $\mathcal{B}$  modules. From  $\mathcal{B} = \bigoplus \mathcal{B}f_i$  we then obtain

$$\text{rk } \mathcal{B} = \sum_{i=1}^s \text{rk } (\mathcal{B}f_i) = s (\text{rk } \mathcal{B}f_1),$$

which establishes (i).

3.9 THEOREM. Let  $A$  be a quotient of the enveloping algebra  $U(\mathfrak{a})$  of a finite dimensional  $F$ -Lie algebra  $\mathfrak{a}$ . Assume that  $A$  embeds in a prime ring  $B$  with identity  $1 \in A$  which is a finitely generated  $U(\mathfrak{a}) \otimes U(\mathfrak{a})$  module of locally ad a finite elements. Let  $\{P_1, P_2, \dots, P_r\}$  be the set of minimal primes of  $A$ . Then for all  $i = 1, 2, \dots, r$

(i)  $d(A/P_i) = d(A)$ ,

(ii) there exist  $z_i \in \mathbf{N}^+$ , such that

$$\sum_{i=1}^r z_i \text{rk } (A/P_i) = \text{rk } B.$$

(i) follows from 3.6 (i) and 2.6 (i).

(ii) By 2.7, 3.5–3.7, the set  $S$  of regular elements of  $A$  is contained in the set of regular elements of  $B$  and is an Ore subset for both  $A$  and  $B$ . Let  $N$  be the nilradical of  $A$ . By 2.7,  $\mathcal{A} := S^{-1}A$  has nilradical  $\mathcal{N} := S^{-1}N$  and is an Artinian subring of the prime ring  $\mathcal{B} := S^{-1}B$  with identity  $1 \in \mathcal{A}$ . If  $P$  is a minimal prime of  $A$  then by 2.4 we have  $P \cap S = \emptyset$ . Conversely if  $Q$  is not a minimal prime of  $A$ , then as in the proof of 2.6 (iii) it follows that  $d(A/Q) < d(A)$  and so  $Q \cap S \neq \emptyset$  by 2.8. Then by [3], 2.10:  $\{Q_i := S^{-1}P_i : i = 1, 2, \dots, r\}$  is the set of primes of  $\mathcal{A}$  and  $\text{Fract } A/P_i = \mathcal{A}/Q_i$ , up to isomorphism. Then (ii) follows from 3.8.

REMARK. Applying (i) to the example of 3.1 establishes a result which has long been suspected. Namely for the annihilator  $I$  of an induced module the quotients of  $U(\mathfrak{g})$  defined by the minimal primes containing  $I$  have all the same Gelfand–Kirillov dimension.

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CENTRE DE MATHÉMATIQUES  
BATIMENT 425  
91405 ORSAY, FRANCE

AND

DEPARTMENT OF MATHEMATICS  
THE UNIVERSITY OF CALIFORNIA  
LA JOLLA, CALIFORNIA 92093 USA